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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of :  
Keiji NISHIKIORI et al. : **Mail Stop: PCT**  
Serial No. 10/578,099 : Attorney Docket No. 2006\_0656A  
Filed May 3, 2006 : **Confirmation No. 4682**

OPTICAL INFORMATION  
RECORDING MEDIUM, OPTICAL  
INFORMATION RECORDING MEDIUM  
RECORDING/REPRODUCING METHOD,  
AND RECORDING/REPRODUCING APPARATUS  
[Corresponding to PCT/JP2004/016210  
Filed November 1, 2004]

**PATENT OFFICE FEE TRANSMITTAL FORM**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

Sir:

Attached hereto is a check in the amount of \$130.00 to cover Patent Office fees relating to filing the following attached papers:

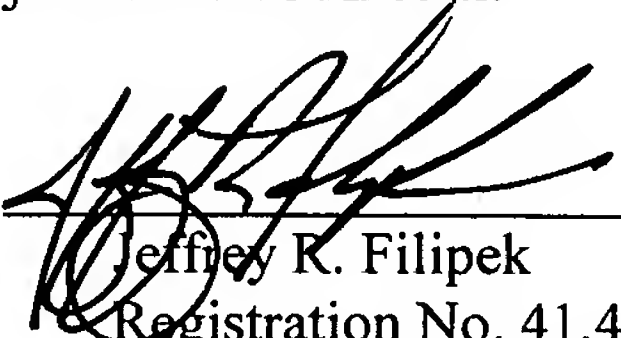
Late filing of executed Declaration ..... \$130.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

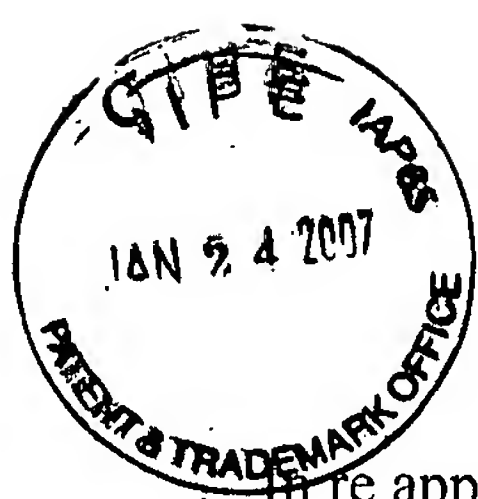
01/25/2007 ATRAN1 00000126 10578099  
01 FC:1617 130.00 0P

Respectfully submitted,  
Keiji NISHIKIORI et al.

By   
Jeffrey R. Filipek  
Registration No. 41,471  
Attorney for Applicants

JRF/jmj  
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January 24, 2007

[Check No. 78458]  
2006\_0656A



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In re application of

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**REPLY TO NOTIFICATION OF MISSING REQUIREMENTS**  
**UNDER 35 USC 371**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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ACCOUNT NO. 23-0975

Sir:

In response to the PTO Notification of Missing Requirements Under 35 USC 371 dated December 27, 2006, submitted herewith is a Declaration for the above application executed by the inventors.

Also enclosed are the PTO surcharge of **\$130.00** required by 37 CFR 1.492(e), and a copy of the PTO notice.

It is respectfully submitted that the application is now complete, and early indication thereof is now requested.

Respectfully submitted,

Keiji NISHIKIORI et al.

By

Jeffrey R. Filipek  
Registration No. 41,471  
Attorney for Applicants

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January 24, 2007



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/578,099	Keiji Nishikiori	2006 0656A

513  
 WENDEROTH, LIND & PONACK, L.L.P.  
 2033 K STREET N. W.  
 SUITE 800  
 WASHINGTON, DC 20006-1021

INTERNATIONAL APPLICATION NO.	
PCT/JP04/16210	
I.A. FILING DATE	PRIORITY DATE
11/01/2004	11/07/2003

CONFIRMATION NO. 4682

371 FORMALITIES LETTER



\*OC000000021689541\*

Date Mailed: 12/27/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/03/2006
- English Translation of the IA filed on 05/03/2006
- Copy of the International Search Report filed on 05/03/2006
- Copy of IPE Report filed on 05/03/2006
- Preliminary Amendments filed on 05/03/2006
- Information Disclosure Statements filed on 05/03/2006
- Oath or Declaration filed on 05/03/2006
- Request for Immediate Examination filed on 05/03/2006
- U.S. Basic National Fees filed on 05/03/2006
- Priority Documents filed on 05/03/2006

**RECEIVED**  
 DEC 28 2006  
 WENDEROTH, LIND & PONACK

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/578,099	PCT/JP04/16210	2006 0656A